

We are required to inform you of the National Futures Association (“NFA”) Background Affiliation Status Information Center (“BASIC”). The BASIC system compiles various information on registrants and anyone can access this system on the Internet free of charge.

The information in the BASIC system includes Commodity Futures Trading Commission (“CFTC”) registration information and membership information from the NFA. Also included are regulatory and non-regulatory actions contributed by the NFA, the CFTC and the U.S. futures exchanges regarding futures-related activity.

The NFA BASIC system may be accessed at www.nfa.futures.org/basicnet/. To locate information on a registrant, simply enter the registrant’s name or NFA ID number when prompted. Our NFA ID number is 342337. For questions regarding this system, you may contact the NFA information center at 1-800-621-3570 between the hours of 8:00 a.m. to 5:00 p.m. CST.

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